

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Roman et al.
Title : HEAT SINK FOR A SILICON CONTROLLED RECTIFIER POWER
CONTROLLER

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box: 1450
Alexandria, VA 22313-1450

Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying substitute form PTO-1449.

This statement is being filed before the receipt of a first Office action on the merits. Under 37 C.F.R. § 1.98(d) copies of the references are not required because: (1) the information was previously submitted to, or cited by, the Office in earlier application 10/255,743, upon which Applicant is relying for an earlier effective filing date under 35 U.S.C. § 120; and (2) the information disclosure statement submitted in the earlier application complies with 37 C.F.R. §§ 1.98(a)-(c).

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Date: September 15, 2003

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert V. Racunas, Jr.", written over a horizontal line.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known			
		Application Number			
		Filing Date	09-15-2003		
		First Named Inventor	Roman		
		Art Unit			
		Examiner Name			
Sheet	1	of	1	Attorney Docket Number	020503CON

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-3,811,958	05-21-1974	Maurer	
		US-5,101,320	03-31-1992	Bhargava et al.	
		US-5,170,336	12-08-1992	Getter et al.	
		US-5,670,936	09-23-1997	Estes et al.	
		US-5,680,294	10-21-1997	Stora et al.	
		US-5,945,746	08-31-1999	Tracewell et al.	
		US-6,046,921	04-04-2000	Tracewell et al.	
		US-6,418,015	07-09-2002	Kociecki, John	
		US-6,493,227	12-10-2002	Nielsen et al.	
		US-6,515,858	02-04-2003	Rodriguez et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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